



# TAYLOR, PORTER, BROOKS & PHILLIPS

—LLP—

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November 15, 2001

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Commissioner for Patents  
BOX MISSING PARTS  
Washington, DC 20231

RE: Eugene A. Woltering *et al.*, "Three-dimensional Ex Vivo Angiogenesis System,"  
SN 09/866,296, filed May 25, 2001 (File Ref. 98M06.1 Woltering)

Dear Sir:

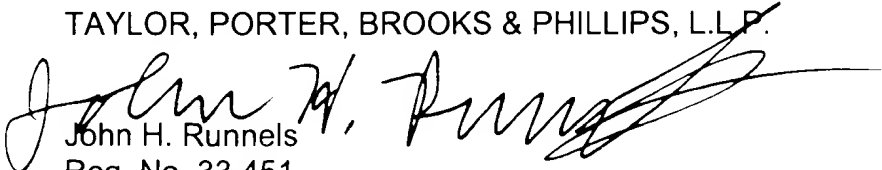
Applicant is entitled to small entity status under 37 C.F.R. § 1.27, and small entity status is hereby claimed.

Enclosed for filing are the following:

1. Part 2 of Notice to File Missing Parts of Application;
2. 2-page original oath for patent application executed on November 13, 2001 by Eugene A. Woltering and Seza A. Gulec;
3. Petition and Fee for Extension of Time under 37 C.F.R. § 1.136(a);
4. Check for \$265.00 for the missing parts surcharge with extension fee, paid as a small entity;
5. 1-page Fee transmittal sheet;
6. 1-page First Class Mailing Certificate;
7. Return postcard acknowledging receipt of the above items.

Very truly yours,

TAYLOR, PORTER, BROOKS & PHILLIPS, L.L.P.

  
John H. Runnels  
Reg. No. 33,451

JHR:sjc  
Enclosures



## PATENT APPLICATION

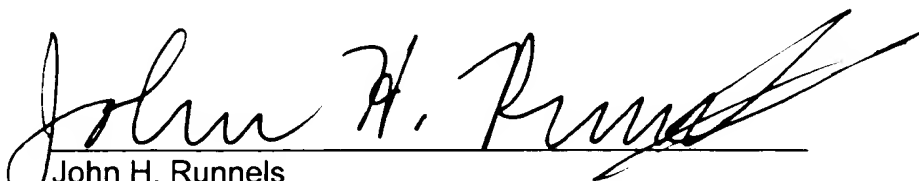
### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Eugene A. Woltering *et al.*  
Serial No.: 09/866,296  
Filing Date: May 25, 2001  
Title: Three-dimensional Ex Vivo Angiogenesis System  
Atty File No.: 98M06.1 Woltering

### CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the listed enclosures are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231 on November 15, 2001.

- Cover letter
- Part 2 - Notice to File Missing Parts of Application
- Oath signed by Eugene A. Woltering and Seza A. Gulec on November 13, 2001
- Petition and Fee for Extension of Time
- Fee Transmittal sheet
- Check for \$265 for missing parts surcharge and extension fee
- Return postcard verifying receipt of the listed enclosures

  
John H. Runnels  
Registration No. 33,451

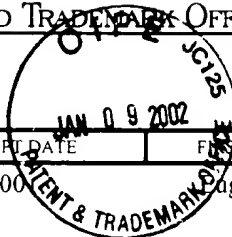
November 15, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 2023  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/866,296         | 05/25/2001          | Eugene A. Woltering   | 98M06.1 Woltering      |



25547  
PATENT DEPARTMENT  
TAYLOR, PORTER, BROOKS & PHILLIPS, L.L.P.  
P.O. BOX 2471  
BATON ROUGE, LA 70821

CONFIRMATION NO. 4311

## FORMALITIES LETTER



\*OC00000006409888\*

Date Mailed: 08/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

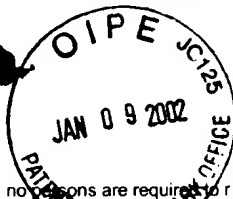
*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 15/2001 MBERHE 00000048 09866296

01 00/2001 65.00 00



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$265.00

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 09/866,296          |
| Filing Date          | May 25, 2001        |
| First Named Inventor | Eugene A. Woltering |
| Examiner Name        |                     |
| Group Art Unit       | 1642                |
| Attorney Docket No.  | 98M06.1 Woltering   |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **20-0096**  
Deposit Account Name **Taylor Porter Brooks & Phillips**

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740                    | 201 370                    | Utility filing fee     |          |
| 106 330                    | 206 165                    | Design filing fee      |          |
| 107 510                    | 207 255                    | Plant filing fee       |          |
| 108 740                    | 208 370                    | Reissue filing fee     |          |
| 114 160                    | 214 80                     | Provisional filing fee |          |
| SUBTOTAL (1)               |                            |                        |          |

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
|                    | -20** = 0    | X              | 0.00     |
| Independent Claims | -3** = 0     | X              | 0.00     |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 84                     | 202 42                     | Independent claims in excess of 3                          |
| 104 280                    | 204 140                    | Multiple dependent claim, if not paid                      |
| 109 84                     | 209 42                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  | 65.00    |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130                    | Non - English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 400                    | 216 200                    | Extension for reply within second month                                    | 200.00   |
| 117 920                    | 217 460                    | Extension for reply within third month                                     |          |
| 118 1,440                  | 218 720                    | Extension for reply within fourth month                                    |          |
| 128 1,960                  | 228 980                    | Extension for reply within fifth month                                     |          |
| 119 320                    | 219 160                    | Notice of Appeal   |          |
| 120 320                    | 220 160                    | Filing a brief in support of an appeal                                     |          |
| 121 280                    | 221 140                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,280                  | 241 640                    | Petition to revive - unintentional   |          |
| 142 1,280                  | 242 640                    | Utility issue fee (or reissue)   |          |
| 143 460                    | 243 230                    | Design issue fee   |          |
| 144 620                    | 244 310                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126 180                    | 126 180                    | Submission of Information Disclosure Statement                             |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 740                    | 246 370                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740                    | 249 370                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740                    | 279 370                    | Request for Continued Examination (RCE)                                    |          |
| 169 900                    | 169 900                    | Request for expedited examination of a design application                  |          |
| Other fee (specify)        |                            |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$265.00

## SUBMITTED BY

|                   |                 |                                   |             |           |                   |
|-------------------|-----------------|-----------------------------------|-------------|-----------|-------------------|
| Name (Print/Type) | John H. Runnels | Registration No. (Attorney/Agent) | Reg. 33,451 | Telephone | (225) 387-3221    |
| Signature         |                 |                                   |             | Date      | November 15, 2001 |

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.